REMARKS/ARGUMENTS

The abstract has been amended to remove the phrase "The present invention is directed to". This amendment was requested by the Examiner.

Claims 7 and 11 have been amended to clarify the claims.

No claims have been canceled, no claims have been withdrawn and Claims 1-15 remain pending in the present application. No claims and no new matter have been added, and no additional claim fee due. Entry of this Amendment is respectively requested.

OBJECTIONS

The Office Action objected to the abstract off the disclosure specifically because it contained the phrase "The present invention is directed to". The Office action specifically requested the deletion of this phrase. Applicants have deleted this phrase form the abstract, thereby complying with the demands of the objection. As a consequence reconsideration and withdrawal is respectfully requested.

REJECTIONS

Rejections Under 35 U.S.C. §112

35 U.S.C. §112 Second Paragraph

1) Claim 7

Claim 7 has been rejected under 35 U.S.C. §112 second paragraph as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Specifically, the Office Action noted that the tem "the spring" lacks antecedent basis.

Applicants have amended Claim 7 by inserting the phrase "further comprising a spring element" before the term "wherein". This amendment is similar to the one made on Claim 6 and provides sufficient antecedent basis for the rejected phrase. Consequently, in view of the foregoing remarks, it is respectfully requested that the rejection of Claim 7 be reconsidered and withdrawn.

Rejections Under 35 U.S.C. §102

Вапдо

Claims 1-5, 7, 8, 10 and 12-15 have been rejected under 35 U.S.C. §102(e) as being anticipated by Bando et al., US Patent Application Publication No. 2002/17522. It is asserted in the Office Action that Bando anticipates these claims. Applicants respectively traverse the rejection.

Applicant respectfully request that this rejection be withdrawn as Bando is not available as 102(e) art as it has an international filing date of before November 29, 1999. The MPEP at 706.02(f)(1)(C)(3)(c) states:

- (C) If the potential reference resulted from, or claimed the benefit of, an international application, the following must be determined:
 - (3) If the international application has an international filing date prior to November 29, 2000, apply the reference under the provisions of 35 U.S.C. 102 and 374, prior to the AIPA amendments:

(b) For U.S. application publications and WIPO publications directly resulting from international applications under PCT Article 21(2), never apply these references under 35 U.S.C. 102(e). These references may be applied as of their publication dates under 35 U.S.C. 102(a) or (b); (Emphasis added)

A copy of MPEP at 706.02(f)(1), which includes worked examples and flow charts have been included as courtesy. The worked example, example 6 on page 700-33 and the flow charts on page 700-37 and 700-38 illustrate that Bando is unavailable for use as 102(e) art. Furthermore, Bando is unavailable as 102(a) or (b) art as Bando's publication date is February 14, 2002 long after the filing and priority dates of the instant application.

In view of the foregoing remarks, it is respectfully requested that rejection of Claim 1-5, 7, 8, 10 and 12-15 be reconsidered and withdrawn.

Rejection under 35 U.S.C. 103

1) Bando in view of Taguchi

Claim 6 is rejected under 35 U.S.C. §103 as being obvious over Bando et al., US Patent Application Publication No. 2002/17522 in view of Taguchi et al., US Patent No. 4,513,877. It is asserted in the Office Action that the claimed container is obvious in light of what is taught by Bando in view of Taguchi. Applicants respectively traverse the rejection.

As noted previously Bando is unavailable as a 102(e) reference and only as a possible 102(a) or (b) reference. Consequently, Bando is only available as a 103 rejection as of its publication date, which is February 14, 2002. This publication date of Bando is after the filing and priority dates of the instant application.

Therefore, Bando is not prior art for the instant application and is not available as prior art to reject the instant application.

Consequently, Claim 6 is not obvious in light of the combination of Bando in view of Taguchi since Bando is not prior art for the instant application. In view of the foregoing remarks, it is respectfully requested that the rejection of Claim 6 be reconsidered and withdrawn.

2) Bando in view of Scaroni

Claims 9 and 11 is rejected under 35 U.S.C. §103 as being obvious over Bando et al., US Patent Application Publication No. 2002/17522 in view of Scaroni, US Patent No. 5,065,885. It is asserted in the Office Action that the claimed container is obvious in light of what is taught by Bando in view of Scaroni. Applicants respectively traverse the rejection.

As noted previously Bando is unavailable as a 102(e) reference and only as a possible 102(a) or (b) reference. Consequently, Bando is only available as a 103 rejection as of its publication date, which is February 14, 2002. This publication date of Bando is after the filing and priority dates of the instant application. Therefore, Bando is not prior art for the instant application and is not available as prior art to reject the instant application.

Consequently, Claims 9 and 11 are not obvious in light of the combination of Bando in view of Scaroni since Bando is not prior art for the instant application. In view of the foregoing remarks, it is respectfully requested that the rejection of Claims 9 and 11 be reconsidered and withdrawn.

Customer No. 27752

CONCLUSION

In light of the amendments and remarks presented herein, Applicants respectively submit that Claims 1-15 are allowable over the prior art of record or any combination thereof. In the event that issue remain prior to allowance of the noted claims, then the Examiner is invited to call Applicants' undersigned agent to discuss any remaining issues.

Respectfully submitted,

Ian S. Robinson Esq.
Attorney for Applicant(s)
Registration No. 43,348

(513) 626-3356

Date: October 28, 2003

Customer No. 27752

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706.02(f)(1)

706.02(f)(1) Examination Guidelines for Applying References Under 35 U.S.C. 102(e)

I. DETERMINE THE APPROPRIATE 35 U.S.C. 102(e) FOREACH POTENTIAL REFERENCE BY FOLLOWING THE GUIDELINES, EXAMPLES, AND FLOW CHARTS SET FORTH BELOW:

(A)The potential reference must be a U.S. patent, a U.S. application publication (35 U.S.C. 122(b)) or a WIPO publication of an international application under PCT Article 21(2) in order to apply the reference under 35 U.S.C. 102(e).

- (B) Determine if the potential reference resulted from, or claimed the benefit of, an international application. If the reference does, go to step (C) below. The 35 U.S.C. 102(e) date of a reference that did not result from, nor claimed the benefit of, an international application is its earliest effective U.S. filing date, taking into consideration any proper benefit claims to prior U.S. applications under 35 U.S.C. 119(e) or 120 if the prior application(s) properly supports the subject matter used to make the rejection. See MPEP § 706.02(a).
- (C) If the potential reference resulted from or claimed the benefit of an international application, the following must be fetermined:
- (1) If the international application meets the following three conditions:
- (a) an international filing date on or after November 29, 2000;
 - (b) designated the United States; and
- (c) published under PCT Article 21(2) in English,

then the international filing date is a U.S. filing date for prior art purposes under 35 U.S.C. 102(e). If such an international application properly claims benefit to an earlier-filed U.S. or international application, or to an earlier-filed U.S. provisional application, apply the reference under 35 U.S.C. 102(e) as of the earlier filing date, assuming all the conditions of 35 U.S.C. 102(e), 119(e), 120, or 365(c) are met. Note, where the earlier application is an international application, the earlier international application must satisfy the same three conditions (i.e., filed on or after November

- 29, 2000, designated the U.S., and had been published in English under PCT Article 21(2)) for the earlier international filing date to be a U.S. filing date for prior art purposes under 35 U.S.C. 102(e).
- (2) If the international application was filed on or after November 29, 2000, but did not designate the United States or was not published in English under PCT Article 21(2), do not treat the international filing date as a U.S. filing date. In this situation, do not apply the reference as of its international filing date, its date of completion of the 35 U.S.C. 371(c)(1), (2) and (4) requirements, or any earlier filing date to which such an international application claims benefit or priority. The reference may be applied under 35 U.S.C. 102(a) or (b) as of its publication date, or 35 U.S.C. 102(e) as of any later U.S. filing date of an application that properly claimed the benefit of the international application (if applicable).
- (S) With imminational application has an international filing date prior to November 29, 2000, apply the reference under the provisions of 35 U.S.C. 102 and 374, prior to the AIPA amendments:
- (a) For U.S. patents, apply the reference under 35 U.S.C. 102(e) as of the earlier of the date of completion of the requirements of 35 U.S.C. 371(c)(1), (2) and (4) or the filing date of the laterfiled U.S. application that claimed the benefit of the international application;
- (b) For U.S. application publications and WIFO publications directly resulting from international supplications under PCT Article 21(2), never apply these references made 35 U.S.C. 102(e). These references may be applied as of their publication dates under 35 U.S.C. 102(a) or (b).
- (c) For U.S. application publications of applications that claim the benefit under 35 U.S.C. 120 or 365(c) of an international application filed prior to November 29, 2000, apply the reference under 35 U.S.C. 102(e) as of the actual filing date of the later-filed U.S. application that claimed the benefit of the international application.
- (4) Examiners should be aware that although a publication of, or a U.S. Patent issued from, an international application may not have a 35 U.S.C. 102(e) date at all, or may have a 35 U.S.C. 102(c) date that is after the effective filing date of the application being examined (so it is not "prior art"), the corresponding

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WIPO publication of an international application may have an earlier 35 U.S.C. 102(a) or (b) date.

(D) Foreign applications' filing dates that are claimed (via 35 U.S.C. 119(a)-(d), (f), or 365(a)) in applications, which have been published as U.S. or WIPO application publications or patented in the U.S., may not be used as 35 U.S.C. 102(e) dates for prior art purposes. This includes international filing dates claimed as foreign priority dates under 35 U.S.C. 365(a).

II. EXAMPLES

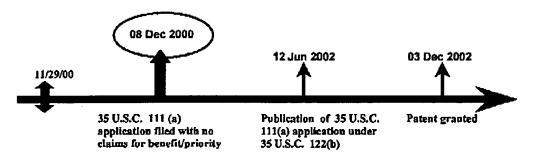
In order to illustrate the prior art dates of U.S. and WIPO publications of patent applications and U.S. patents under 35 U.S.C. 102(e), nine examples are presented below. The examples only cover the most common factual situations that might be encountered

when determining the 35 U.S.C. 102(e) date of a reference. Examples 1 and 2 involve only U.S. application publications and U.S. patents. Example 3 involves a priority claim to a foreign patent application. Examples 4-9 involve international applications. The time lines in the examples below show the history of the prior art references that could be applied against the claims of the application under examination, or the patent under reexamination.

The examples only show the information necessary to determine a prior art date under 35 U.S.C. 102(e). Also, the dates in the examples below are arbitrarily used and are presented for illustrative purposes only. Therefore, correlation of patent grant dates with Tuesdays or application publication dates with Thursdays may not be portrayed in the examples.

Example 1: Reference <u>Publication</u> and <u>Patent</u> of 35 U.S.C. 111(a) Application with no Priority/Benefit Claims.

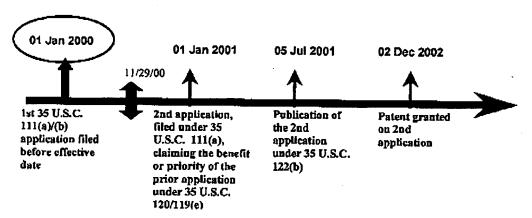
For reference publications and patents of patent applications filed under 35 U.S.C. 111(a) with no claim for the benefit of, or priority to, a prior application, the prior art dates under 35 U.S.C. 102(e) accorded to these references are the earliest effective U.S. filing dates. Thus, a publication and patent of a 35 U.S.C. 111(a) application, which does not claim any benefit under either 35 U.S.C. 119(e), 120 or 365(c), would be accorded the application's actual filing date as its prior art date under 35 U.S.C. 102(e).



The 35 U.S.C. 102(e)(1) date for the Publication is 08 Dec. 2000. The 35 U.S.C. 102(e)(2) date for the Patent is: 08 Dec. 2000.

Example 2: Reference <u>Publication</u> and <u>Patent</u> of 35 U.S.C. 111(a) Application with Priority/Benefit Claim to a Prior U.S. Provisional or Nonprovisional Application.

For reference publications and patents of patent applications filed under 35 U.S.C. 111(a), the prior art dates under 35 U.S.C. 102(e) accorded to these references are the earliest effective U.S. filing dates. Thus, a publication and patent of a 35 U.S.C. 111(a) application, which claims priority under 35 U.S.C. 119(e) to a prior U.S. provisional application or claims the benefit under 35 U.S.C. 120 of a prior nonprovisional application, would be accorded the earlier filing date as its prior art date under 35 U.S.C. 102(e), assuming the earlier-filed application has proper support for the subject matter as required by 35 U.S.C. 119(e) or 120.

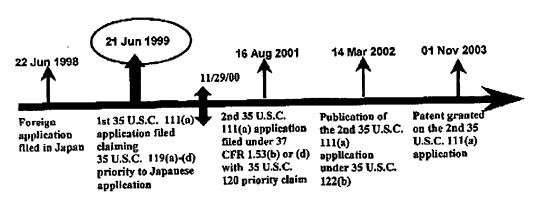


The 35 U.S.C. 102(e)(1) date for the Publication is: 01 Jan. 2000. The 35 U.S.C. 102(e)(2) date for the Patent is: 01 Jan. 2000.

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Example 3: Reference <u>Publication</u> and <u>Patent</u> of 35 U.S.C. 111(a) Application with 35 U.S.C. 119(a)-(d) Benefit Claim to a Prior Foreign Application.

For reference publications and patents of patent applications filed under 35 U.S.C. 111(a), the prior art dates under 35 U.S.C. 102(e) accorded to these references are the earliest effective U.S. filing dates. No benefit of the filing date of the foreign application is given under 35 U.S.C. 102(e) for prior art purposes (In re Ililmer, 149 USPQ 480 (CCPA 1966)). Thus, a publication and patent of a 35 U.S.C. 111(a) application, which claims benefit under 35 U.S.C. 119(a)-(d) to a prior foreign-filed application (or under 35 U.S.C. 365(a) to an international application), would be accorded its U.S. filing date as its prior art date under 35 U.S.C. 102(e).

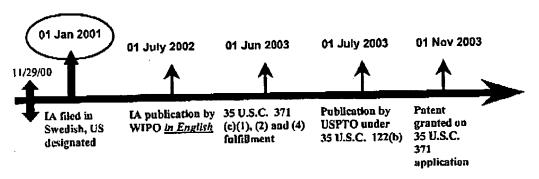


The 35 U.S.C. 102(e)(1) date for the Publication is: 21 June 1999. The 35 U.S.C. 102(c)(2) date for the Patent is: 21 June 1999.

706.02(f)(1)

Example 4: References based on the national stage (35 U.S.C. 371) of an International Application filed on or after November 29, 2000 and which was published in English under PCT Article 21(2).

All references, whether the WIPO publication, the U.S. patent application publication or the U.S. patent, of an international application (IA) that was filed on or after November 29, 2000, designated the U.S., and was published in English under PCT Article 21(2) by WIPO have the 35 U.S.C. 102(e) prior art date of the international filing date or earlier effective U.S. filing date. No benefit of the international filing date (nor any U.S. filing dates prior to the IA), however, is given for 35 U.S.C. 102(e) prior art purposes if the IA was published under PCT Article 21(2) in a language other than English.



The 35 U.S.C. 102(e)(1) date for the IA Publication by WIPO is: 01 Jan. 2001. The 35 U.S.C. 102(e)(1) date for the Publication by USPTO is: 01 Jan. 2001. The 35 U.S.C. 102(e)(2) date for the Patent is: 01 Jan. 2001.

Additional Priority/Benefit Claims:

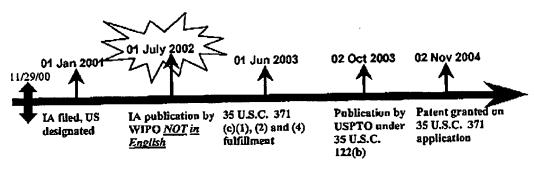
If a later-filed U.S. nonprovisional (35 U.S.C. III(a)) application claimed the benefit of the IA in the example above, the 35 U.S.C. 102(e) date of the patent or publication of the later-filed U.S. application would be the international filing date, assuming the earlier-filed IA has proper support for the subject matter relied upon as required by 35 U.S.C. 120.

If the IA properly claimed priority to an earlier-filed U.S. provisional (35 U.S.C. 111(b)) application or the benefit of an earlier-filed U.S. nonprovisional (35 U.S.C. 111(a)) application, the 35 U.S.C. 102(e) date for all the references would be the filing date of the earlier-filed U.S. application, assuming the earlier-filed application has proper support for the subject matter relied upon as required by 35 U.S.C. 119(e) or 120.

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Example 5: References based on the national stage (35 U.S.C. 371) of an International Application filed on or after November 29, 2000 and which was not published in English under PCT Article 21(2).

All references, whether the WIPO publication, the U.S. patent application publication or the U.S. patent, of an international application (IA) that was filed on or after November 29, 2000 but was not published in English under PCT Article 21(2) have no 35 U.S.C. 102(e) prior art date at all. According to 35 U.S.C. 102(e), no benefit of the international filing date (nor any U.S. filing dates prior to the IA) is given for 35 U.S.C. 102(e) prior art purposes if the IA was published under PCT Article 21(2) in a language other than English, regardless of whether the international application entered the national stage. Such references may be applied under 35 U.S.C. 102(a) or (b) as of their publication dates, but never under 35 U.S.C. 102(e).



The 35 U.S.C. 102(e)(1) date for the IA Publication by WIPO is: None. The 35 U.S.C. 102(e)(1) date for the Publication by USPTO is: None. The 35 U.S.C. 102(e)(2) date for the Patent is: None.

The IA publication by WIPO can be applied under 35 U.S.C. 102(a) or (b) as of its publication date (01 July 2002).

Additional Priority/Benefit Claims:

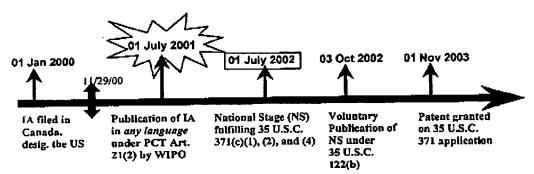
If the IA properly claimed priority/benefit to any earlier-filed U.S. application (whether provisional or nonprovisional), there would still be no 35 U.S.C. 102(e) date for all the references.

If a later-filed U.S. nonprovisional (35 U.S.C. 111(a)) application claimed the benefit of the IA in the example above, the 35 U.S.C. 102(e) date of the patent or publication of the later-filed U.S. application would be the actual filing date of the later-filed U.S. application.

706.02(f)(1)

Example 6: References based on the national stage (35 U.S.C. 371) of an International Application filed prior to November 29, 2000 (language of the publication under PCT Article 21(2) is not relevant).

The reference U.S. patent issued from an international application (IA) that was filed prior to November 29, 2000 has a 35 U.S.C. 102(e) prior art date of the date of fulfillment of the requirements of 35 U.S.C. 371(c)(1). (2) and (4). This is the pre-AIPA 35 U.S.C. 102(e). The application publications, both the WIPO publication and the U.S. publication, published from an international application that was filed prior to November 29, 2000, do not have any 35 U.S.C. 102(e) prior art date. According to the effective date provisions as amended by Pub. L. 107-273, the amendments to 35 U.S.C. 102(e) and 374 are not applicable to international applications having international filing dates prior to November 29, 2000. The application publications can be applied under 35 U.S.C. 102(a) or (b) as of their publication dates.



The 35 U.S.C. 102(e)(1) date for the IA Publication by WIPO is: None. The 35 U.S.C. 102(e)(1) date for the Publication by USPTO is: None. The 35 U.S.C. 102(e)(2) date for the Patent is: 01 July 2002.

The IA publication by WIPO can be applied under 35 U.S.C. 102(a) or (b) as of its publication date (01 July 2001).

Additional Priority/Benefit Claims:

If the IA properly claimed priority/benefit to any earlier-filed U.S. application (whether provisional or nonprovisional), there would still be no 35 U.S.C. 102(e)(1) date for the U.S. and WIPO application publications, and the 35 U.S.C. 102(e) date for the patent will still be 01 July 2002 (the date of fulfillment of the requirements under 35 U.S.C. 371(c)(1), (2) and (4)).

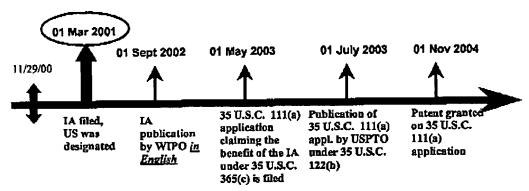
If a later-filed U.S. nonprovisional (35 U.S.C. 111(a)) application claimed the benefit of the IA in the example above, the 35 U.S.C. 102(e)(1) date of the application publication of the later-filed U.S. application would be the actual filing date of the later-filed U.S. application, and the 35 U.S.C. 102(e) date of the patent of the later-filed U.S. application would be 01 July 2002 (the date that the earlier-filed IA fulfilled the requirements of 35 U.S.C. 371(c)(1), (2) and (4)).

If the patent was based on a later-filed U.S. application that claimed the benefit of the international application and the later filed U.S. application's filing date is before the date the requirements of 35 U.S.C. 371(c)(1).(2) and (4) were fulfilled (if fulfilled at all), the 35 U.S.C. 102(c) date of the patent would be the filing date of the later-filed U.S. application that claimed the benefit of the international application.

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Example 7: References based on a 35 U.S.C. 111(a) Application which is a Continuation of an International Application, which was filed on or after November 29, 2000, designated the U.S. and was published in English under PCT Article 21(2).

All references, whether the WIPO publication, the U.S. patent application publication or the U.S. patent of, or claiming the benefit of, an international application (IA) that was filed on or after November 29, 2000, designated the U.S., and was published in English under PCT Article 21(2) have the 35 U.S.C. 102(e) prior art date of the international filing date or earlier effective U.S. filing date. No benefit of the international filing date (nor any U.S. filing dates prior to the IA), however, is given for 35 U.S.C. 102(e) purposes if the IA was published under PCT Article 21(2) by WIPO in a language other than English.



The 35 U.S.C. 102(e)(1) date for the IA Publication by WIPO is: 01 Mar. 2001. The 35 U.S.C. 102(e)(1) date for the Publication by USPTO is: 01 Mar. 2001. The 35 U.S.C. 102(e)(2) date for the Patent is: 01 Mar. 2001.

Additional Priority/Benefit Claims:

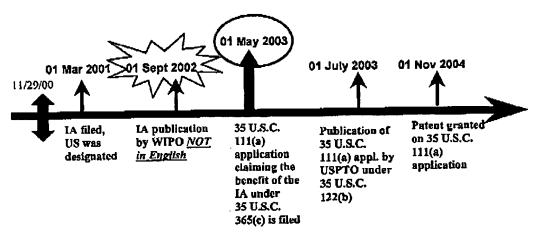
If the IA properly claimed priority to an earlier-filed U.S. provisional (35 U.S.C. 111(b)) application or the benefit of an earlier-filed U.S. nonprovisional (35 U.S.C. 111(a)) application, the 35 U.S.C. 102(e) date for all the references would be the filing date of the earlier-filed U.S. application, assuming the earlier-filed application has proper support for the subject matter relied upon as required by 35 U.S.C. 119(c) or 120.

If a second, later-filed U.S. nonprovisional (35 U.S.C. 111(a)) application claimed the benefit of the 35 U.S.C. 111(a) application in the example above, the 35 U.S.C. 102(e) date of the patent or publication of the second, later-filed U.S. application would still be the international filing date of the IA, assuming the earlier-filed IA has proper support for the subject matter relied upon as required by 35 U.S.C. 120 and 365(c).

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Example 8: References based on a 35 U.S.C. 111(a) Application which is a Continuation of an International Application, which was filed on or after November 29, 2000 and was not published in English under PCT Article 21(2).

Both the U.S. publication and the U.S. patent of the 35 U.S.C. 111(a) continuation of an international application (IA) that was filed on or after November 29, 2000 but not published in English under PCT Article 21(2) have the 35 U.S.C. 102(e) prior an date of the actual U.S. filing date of the 35 U.S.C. 111(a) application. No benefit of the international filing date (nor any U.S. filing dates prior to the IA) is given for 35 U.S.C. 102(e) purposes since the IA was published under PCT Article 21(2) in a language other than English. The IA publication under PCT Article 21(2) does not have a prior art date under 35 U.S.C. 102(e)(1) because the IA was not published in English under PCT Article 21(2). The IA publication under PCT Article 21(2) can be applied under 35 U.S.C. 102(a) or (b) as of its publication date.



The 35 U.S.C. 102(e)(1) date for the IA Publication by WIPO is: None. The 35 U.S.C. 102(e)(1) date for the Publication by USPTO is: 01 May 2003. The 35 U.S.C. 102(e)(2) date for the Patent is: 01 May 2003

The IA publication by WIPO can be applied under 35 U.S.C. 102(a) or (b) as of its publication date (01 Sept 2002).

Additional Priority/Benefit Claims:

If the IA properly claimed priority/benefit to any earlier-filed U.S. application (whether provisional or nonprovisional), there would still be <u>no</u> 35 U.S.C. 102(e)(1) date for the IA publication by WIPO, and the U.S. patent application publication and patent would still have a 35 U.S.C. 102(e) date of the actual filing date of the later-filed 35 U.S.C. 111(a) application in the example above (01 May 2003).

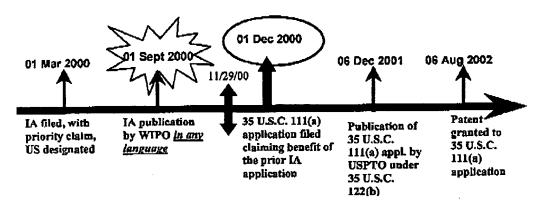
If a second, later-filed U.S. nonprovisional (35 U.S.C. 111(a)) application claimed the benefit of the 35 U.S.C. 111(a) application in the example above, the 35 U.S.C. 102(e) date of the patent or publication of the second, later-filed U.S. application would still be the actual filing date of the 35 U.S.C. 111(a) application in the example above (01 May 2003).

Rev. 1, Feb. 2003

MANUAL OF PATENT EXAMINING PROCEDURE

Example 9: References based on a 35 U.S.C. 111(a) Application which is a Continuation (filed prior to any entry of the national stage) of an International Application, which was filed prior to November 29, 2000 (language of the publication under PCT Article 21(2) is not relevant).

Both the U.S. publication and the U.S. patent of the 35 U.S.C. 111(a) continuation (filed prior to any entry of the national stage) of an international application (IA) that was filed prior to November 29, 2000 have the 35 U.S.C. 102(e) prior art date of their actual U.S. filing date under 35 U.S.C. 111(a). No benefit of the international filing date (nor any U.S. filing dates prior to the IA) is given for 35 U.S.C. 102(e) prior art purposes since the IA was filed prior to November 29, 2000. The IA publication under PCT Article 21(2) does not have a prior art date under 35 U.S.C. 102(e)(1) because the IA was filed prior to November 29, 2000. The IA publication under PCT Article 21(2) can be applied under 35 U.S.C. 102(a) or (b) as of its publication date.



The 35 U.S.C. 102(e)(1) date for the IA Publication by WIPO is: None. The 35 U.S.C. 102(e)(1) date for the Publication by USPTO is: 01 Dec. 2000. The 35 U.S.C. 102(e)(2) date for the Patent is: 01 Dec. 2000.

The IA publication by WIPO can be applied under 35 U.S.C. 102(a) or (b) as of its publication date (01 Sept 2000).

Additional Priority/Benefit Claims:

If the IA properly claimed priority/benefit to any earlier-filed U.S. application (whether provisional or nonprovisional), there would still be <u>no</u> 35 U.S.C. 102(e)(1) date for the IA publication by WIPO, and the U.S. application publication and patent would still have a 35 U.S.C. 102(e) date of the actual filing date of later-filed 35 U.S.C. 111(a) application in the example above (01 Dec 2000).

If a second, later-filed U.S. nonprovisional (35 U.S.C. 111(a)) application claimed the benefit of 35 U.S.C. 111(a) application in the example above, the 35 U.S.C. 102(e) date of the patent or publication of the second, later-filed U.S. application would still be the actual filing date of the 35 U.S.C. 111(a) application in the example above (01 Dec 2000).

706.02(f)(1)

III. FLOWCHARTS

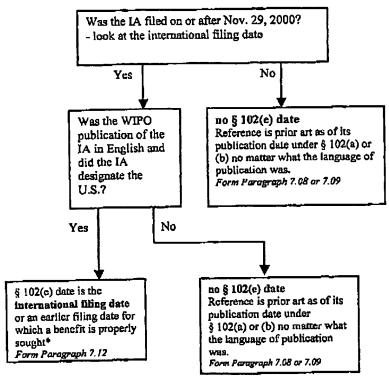
FLOWCHARTS FOR 35 U.S.C. § 102(e) DATES: Apply to all applications and patents, whenever filed Chart I: For U.S. patent or U.S. patent application publication under 35 U.S.C. §122(b) (includes publications of § 371 applications) Is the reference a U.S. patent or U.S. application publication of an International Application (IA)? (look for "35 U.S.C. § 371" on the reference, which would indicate National Stage was entered) No Yes Was the IA filed on or after Nov. 29, 2000? Is there an IA in the continuity chain for which a § 371 (National look at the international filing date benefit is properly sought via §§ 120 or 365(c)? Stage) Yes No No IA No Yes involved The reference was filed under § 111(a) and only claims benefit to other U.S. applications For a patent: § 102(e) date is filed under § 111(a) or (b) or does not make Was the WIPO the § 371(c)(1), (2) and (4) date any benefit claims. publication of the Form Puragraph 7.12.01 The § 102(e) date of the reference is the IA in English and For a U.S. appL publication: earliest U.S. filing date for which a benefit is did the IA no § 102(e) date properly sought via §§ 119(e) and/or 120 (do Reference is prior art as of its designate the not consider foreign priority claims under publication date under § 102(a) or (b) U.S.? §§ 119(a)-(d)). Form Paragraph 7.12 Form Paragraph 7.08 or 7.09 No Yes Benefit claim to Was the IA filed on or after Nov. 29, 2000? an IA (§§ 120 or - look at the international filing date 385(c)) For a patent and a U.S. application Yes publication: § 102(e) For a patent: § 102(e) date is the date is the Was the WIPO publication § 371(c)(1), (2) and (4) date; or § 111(a) international filing of the IA in English and filing date if the IA never entered national did the IA designate the date or an carlier stage. U.S.? filing date for which Form Paragraph 7.12.01 For a U.S. application publication: § No a benefit is properly Yes 102(e) date is the filing date of the U.S. sought* application that claimed benefit to the IA Form Paragraph 7.12 Form Paragraph 7.17 For a patent and an application publication: For a patent and a U.S. § 102(e) date is the application publication: no For a patent and a U.S. international filing § 102(c) date application publication: date or an earlier date Reference is prior art as of its § 102(e) date is the filing date for which a benefit is publication or grant date under of the U.S. application that § 102(a) or (b) properly sought* Form Paragraph 7.08 or 7.09 claimed benefit to the IA Form Paragraph 7.12 Form Paragraph 7.12

* Consider benefit claims properly made under § 119(e) to U.S. provisional applications, § 120 to U.S. nonprovisional applications, and § 365(c) involving IAs. Do NOT consider foreign priority claims.

700-37 Rev. 1, Feb. 2003

MANUAL OF PATENT EXAMINING PROCEDURE

FLOWCHARTS FOR 35 U.S.C. § 102(e) DATES: Apply to all applications and patents, whenever filed Chart II: For WIPO publication of International Applications (IAs)



* Consider benefit claims properly made under § 119(e) to U.S. provisional applications, § 120 to U.S. nonprovisional applications, and § 365(c) involving IAs. Do NOT consider foreign priority claims.

Glossary of Terms:

U.S. patent application publication = pre-grant publication by the USPTO under 35 U.S.C. § 122(b) International application (IA) = an application filed under the Patent Cooperation Treaty (PCT) § 371 application = an IA that has entered the national stage in the U.S. (35 U.S.C. § 371(c)(1), (2) and (4)) November 29, 2000 = the effective date for the amendments to §§ 102(e) and 374 WIPO = World Intellectual Property Organization

WIPO Publication = a publication of an IA under PCT Article 21(2) (e.g., Publication No. WO 99/12345) § 111(a) = provision of the patent code that states the filing requirements for nonprovisional applications

§ 111(b) = provision of the patent code that states the filing requirements for provisional applications

§ 119(e) = provision of the patent code that allows for priority claims to provisional applications

§ 119(a)-(d) = provision of the patent code that allows for priority claims to foreign applications § $120 \sim \text{provision}$ of the patent code that allows for benefit claims to nonprovisional applications

§ 365(c) = provision of the patent code that allows for benefit claims to international applications

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